



## **PECB Certified ISO 19600** Lead Compliance Manager

**Master the implementation and management of a Compliance Management System (CMS) based on ISO 19600**

### **Why should you attend?**

The ISO 19600 Lead Compliance Manager Training enables you to develop the necessary skills and expertise to support an organization in establishing, implementing, evaluating, maintaining and improving an effective compliance management system based on the ISO 19600 standard. During this training course, you will also gain a thorough understanding of the best practices of compliance management and learn the elements needed to implement and manage a CMS, such as: identifying compliance obligations, establishing CMS objectives and policies, demonstrating leadership and commitment, creating a compliance culture, planning and controlling operations, conducting performance measurements, audits, and management reviews, and ensuring continual improvement.

After mastering all the necessary concepts of Compliance Management, you can sit for the exam and apply for a "PECB Certified ISO 19600 Lead Compliance Manager" credential. By holding a PECB Certificate, you will be able to demonstrate that you have the professional capabilities and competencies to effectively manage a compliance management system in an organization.



## Who should attend?

- Compliance officers/chief compliance officers leading the compliance function in organizations
- Expert advisors/consultants seeking to master the implementation and management of a compliance management system based on the recommendations of ISO 19600
- Individuals responsible for the maintenance of compliance obligations within an organization
- CMS team members

## Course agenda

Duration: 5 days

### Day 1 | Introduction to ISO19600 and initiation of a CMS

- Course objectives and structure
- Standard and regulatory framework
- Initiate the CMS implementation
- Understanding of the organization and its context
- Leadership and commitment
- CMS scope

### Day 2 | Plan the implementation of the CMS according to ISO 19600

- CMS policy
- Compliance obligations
- Compliance risk assessment
- Organizational roles and responsibilities
- Compliance function

### Day 3 | CMS Implementation according to ISO 19600

- Resource management process
- Compliance culture
- Communication process
- Documentation management
- Compliance planning and control

### Day 4 | Monitoring, evaluating and continually improving the CMS according to ISO 19600

- Compliance performance and reports
- Audits
- Management review
- Management of nonconformities/noncompliances
- Continual improvement
- Certification process for individuals and closing the training

### Day 5 | Certification Exam



## Learning objectives

- Understand the components and operation of a CMS based on ISO 19600 and its principal processes
- Acknowledge the correlation between ISO 19600 and other standards and regulatory frameworks
- Understand the concepts approaches, standards, methods and techniques for the implementation and effective management of a CMS
- Learn how to support an organization to effectively plan, implement, manage, monitor and maintain a CMS
- Acquire the expertise to advise an organization in implementing CMS best practices

## Examination

Duration: 3 hours

The “PECB Certified ISO 19600 Lead Compliance Manager” exam fully meets the requirements of the PECB Examination and Certification Programme (ECP). The exam covers the following competency domains:

**Domain 1** | Compliance management process steps and framework

**Domain 2** | Establishing a compliance management system

**Domain 3** | Implementing a compliance management system

**Domain 4** | Evaluating the compliance management system

**Domain 5** | Maintaining the compliance management system

For more information about exam details, please visit [Examination Rules and Policies](#).





## Certification

After successfully completing the exam, you can apply for the credentials shown on the table below. You will receive a certificate once you comply with all the requirements related to the selected credential.

For more information about ISO 19600 certifications and the PECB certification process, please refer to the Certification Rules and Policies.

Credential	Exam	Professional experience	Compliance Management experience	Other requirements
PECB Certified ISO 19600 Provisional Compliance Manager	PECB Certified ISO 19600 Lead Compliance Manager exam or equivalent	None	None	Signing the PECB Code of Ethics
PECB Certified ISO 19600 Compliance Manager	PECB Certified ISO 19600 Lead Compliance Manager exam or equivalent	<b>Two years:</b> One year of work experience in Compliance Management	Compliance Management activities: a total of 200 hours	Signing the PECB Code of Ethics
PECB Certified ISO 19600 Lead Compliance Manager	PECB Certified ISO 19600 Lead Compliance Manager exam or equivalent	<b>Five years:</b> Two years of work experience in Compliance Management	Compliance Management activities: a total of 300 hours	Signing the PECB Code of Ethics

## General information

- Certification fees are included in the exam price
- Training material containing over 400 pages of information and practical examples will be distributed
- A participation certificate of 31 CPD (Continuing Professional Development) credits will be issued
- In case of exam failure, you can retake the exam within 12 months free of charge